Effects of sport therapy on psychosocial outcomes for forensic patients

A systematic review of evidence in mentally ill patients

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Abstract: Physical activity has gained importance in psychiatric and psychosomatic treatment schemes, but there is little knowledge on the use of physical activity for forensic rehabilitation, including psychosocial outcomes. A systematic review of the literature on PubMed and Livivo found only one study that specifically addressed the effects of physical activity in forensic patients. Twenty-three studies reported on physical activity in the context of non-forensic patients suffering from mental illnesses similar to those commonly diagnosed in forensic patients. We summarize the effects of physical activity with respect to therapeutic objectives suggested by German sport therapists working with forensic patients. In forensic patients or patients suffering from mental illness typical of forensic patients, physical activity promotes social skills (4 studies), self-image, body experience, and personality growth (9 studies). Physical activity also helps to activate patients (12 studies), while reducing their tension and anger (1 study). Yet, there is a significant lack of specific scientific evidence as to whether sport therapy for forensic patients is effective in terms of the therapeutic objectives of this patient group. Future research must focus on longitudinal dose-effect outcome studies on forensic patients and should also concentrate on studies in the area of psychosis, personality disorders and addiction in relation to forensic sport therapeutic objectives.

Keywords: Physical activity, mental illness, personality, social skills, psychiatry

Introduction

Physical activity (PA) in the frame of sport therapy in psychiatry and psychosomatics is becoming increasingly important, as positive effects have been reported for mental illnesses and stress [1]. Sport therapy is an exercise therapy intervention that particularly incorporates elements of educational, psychological, and socio-therapeutic practices [2]. Meta-analytic studies show positive effects of PA in patients suffering from psychiatric disorders relevant to the field of general psychiatry, including symptoms of schizophrenia [3–7], alcohol addiction [8], substance use disorders [9], and depression or depressive symptoms [3, 6, 10–12]. Many of these disorders or psychiatric symptoms are also common in forensic psychiatric patients. In the context of forensic psychiatric treatment, PA seems to be associated with positive effects pertaining to social risk and other health related behaviors [13].

There is plenty of general evidence on the effects of PA on mental illness, but little on psychosocial outcomes, or the treatment objectives of sports therapy. Yet, there is a lack of scientific evidence for its effectiveness in the overall structure of forensic psychiatric treatment, especially as

far as psychosocial measures of change are concerned. Forensic psychiatric patients tend to be multi-morbid and to suffer from a multitude of symptoms pertaining to a wide range of mental illnesses as well as socio-psychological deficits associated with crime [13]. Mental disorders associated with offending and recidivism into criminal behavior include personality disorders, especially of the cluster B type (antisocial, borderline and narcissistic disorders, less frequently histrionic personality disorders), schizophrenic and other schizophreniform psychotic disorders. Psychosocial deficits typical of many forensic patients include little or no insight into their mental illnesses and related problems; antisocial attitudes and dysfunctional values and beliefs (e.g. my violence is not my fault, the others are out to get me, I am just defending myself); impulsivity, hostility, anger and poor social problem-solving skills. Apart from the fact that many forensic professionals believe in the positive effects of psychotherapeutic treatments and other specialized treatment programs for offenders on some psychosocial problem areas of patients, especially impulsivity, hostility, anger and poor social problem-solving skills, little is known about the psychosocial effects of PA in forensic patients.

The purpose of this systematic review of current evidence is to summarize and structure the evidence available on psychosocial effects of PA in patients with mental disorders relevant in the field of forensic psychiatry (e.g. schizophrenia, personality disorders, intellectual disabilities, and addiction). To structure any psychosocial benefits or effects, we have used the sports therapeutic treatment goals as described by Ross et al. [14] as the main criteria for psychosocial outcomes. These are (the development of) social skills, coping with conflict and frustration, the stabilization of personality, physical and psychological activation, correction of self-image, and changes in body experience.

Treatment effects for patients in forensic psychiatry

Forensic psychiatric treatment includes individual and group psychotherapy, as well as a number of complementary therapies, such as art, work, music, or PA. Evidence on the efficacy of complementary therapies is scarce, but there is a considerable body of research on psychotherapeutic approaches to the treatment of forensic patients [15–17]. Yet, all therapeutic approaches have commonalities, as described in the literature on general psychotherapy. The five major mechanisms of therapeutic change are: therapeutic alliance, resource activation, problem actualization, motivation, and coping [18, 19]. PA as a building block of a general treatment strategy for mentally ill offenders is expected to produce controllable effects on rule-compliant and pro-social behavior (team spirit, fairness), aggression management, frustration tolerance, body perception and positive body experience, self-efficacy, self-esteem, self-calming, and reconciliation [20]. While anxiety, tension and depressive moods can be reduced [20], there is little research on whether these treatment objectives can be attained with forensic patients.

Sport therapeutic objectives for forensic patients

Based on 55 expert interviews with sport therapists, specific sport therapeutic objectives for patients treated in German forensic psychiatric units were identified [14]. The most important sport therapeutic treatment objectives for the

treatment of patients under mental health detention orders according to section 63 of the German Penal Code¹ [21] (mentally ill forensic patients) were: the development of social skills, coping with conflict and frustration, the stabilization of personality, and physical and psychological activation. For substance-dependent patients treated according to section 64 of the German Penal Code² [22], two treatment objectives were identical, and two others emerged: development of social skills, coping with conflict and frustration, correction of self-image, and changes in body experience.

According to the sports therapists interviewed [14], the objectives of PA evolved as a result of offering structured PA to hundreds of forensic patients. Whether or not the developmental targets set forth by the therapists actually match the scientific evidence on the efficacy of PA in general, remains unclear. The same applies to the evidence on the efficacy of PA to change psychiatric symptoms and dangerous behaviors in forensic psychiatric patients. This review presents evidence on sports therapy as regards the six sport therapeutic objectives in forensic psychiatric patients.

Methods

A comprehensive literature search following the PRISMA guidelines was carried out in the databases PubMed and Livivo. The inclusion criteria were: papers (1) published in English that (2) covered mentally ill persons, for whom interventions connecting to the psychosocial performance indicators laid out by forensic sport therapists were carried out. Excluded were articles not pertaining to the mental illness found in forensic psychiatry or those that did not relate to forensic sport therapeutic objectives.

To systematically evaluate the grade of evidence, we used the Grading of Recommendations Assessment, Development, and Evaluation (GRADE) framework [23]. Because this review is about effects regarding any kind of PA on different sport therapeutic objectives, randomized controlled studies or reviews were labeled first-grade evidence (1), prospective and longitudinal studies were labeled second-grade evidence (2), and cross-sectional studies were labeled third-grade evidence (3). The literature search was completed in March 2021 and the following search terms were applied:

¹ German Criminal Code, Section 63: Placement in psychiatric hospital. If a person has committed an unlawful act in a state of criminal irresponsibility or in a state of diminished responsibility, the court orders the person's placement in a psychiatric hospital if the overall evaluation of the offender and of the offense reveals that, due to the offender's condition, he or she represents a danger to the general public.

² German Criminal Code, Section 64: Placement in addiction treatment facility. If a person has an addiction to alcoholic drinks or other intoxicating substances and is convicted of an unlawful act which was committed in a state of intoxication or as a result of an addiction, court is, as a rule, to order placement in an addiction treatment facility if there is a danger that said person will in future commit serious unlawful acts as a consequence of this proclivity.

Figure 1. Study selection for systematic review of current evidence.

- physical activity OR physical exercise OR sport therapy
- AND forensic psychiatry OR forensic patients OR offenders
- AND mental illness OR schizophrenia OR psychosis OR personality disorder OR addiction OR depression OR anxiety
- AND personality OR social skills OR empathy OR activation OR motivation OR self-image OR conflicts OR frustrations

Results

The analysis of the search terms resulted in 508 hits. After excluding inappropriate articles, only one study on forensic patients remained. Therefore, the forensic search terms (forensic psychiatry OR forensic patients OR offenders) were omitted in the second search. The second search resulted in a total of 21,448 hits. As a result, 24 publications were deemed thematically appropriate to represent the six forensic sport therapeutic objectives. These are described in more detail. Figure 1 contains a flowchart of the search routine. A summary of the classification of the grade of evidence is listed in Table 1. Eleven of twenty-four studies were rated grade 1, i.e. more than 50% of the studies were cross-sectional.

Social skills

Four studies reported positive effects of PA on social skills, social functions, and empathy of patients suffering from mental disorders (other than schizophrenia; $n = 2$) or schizophrenia ($n = 2$).

Social skills are cognitive, emotional, and motor behaviors that may lead to a long-term favorable relationship between people [24]. PA, walking programs, and an accumulation of moderate-intensity activity can counteract social withdrawal in patients with serious mental illness [25]. The empathic ability is significantly better in chronically mentally ill patients receiving long-term (up to six months) than short-term (up to three months) group-based PA [26]. Soccer training sessions, which occur regularly and typically last for at least three months, improve the social skills of schizophrenic patients [27, 28]. In one study, the intervention group was trained twice a week for 100 to 120 minutes over the course of 12 weeks, whereas the control group did not receive regular training during this period [27]. The training units were subdivided into six subphases, including a “social phase” to promote “social interaction and competence” and a “feedback phase” to promote participants’ “self-worth and adaptive self-beliefs” [27]. In addition to the enhancement of the physical parameters, there were improvements to the mental

Table 1. Summary of the included publications

Authors, year	Design	Main aim to investigate/assess/evaluate	Sport therapeutic objectives	Type of physical activity	Grade of evidence rating
Bartholomew et al., 2005	Randomized controlled trial	the effect of exercise on mood and well-being in depression	Dealing with conflicts or frustrations (reduction tension/anger)	30 mins moderate-intensity treadmill exercise	1
Bassiliou et al., 2014	Cross-sectional study	physical activity, attitudes and future intentions in patients with schizophrenia	Physical and psychological activation (social support)	Range: 10 mins weight lifting to 10 hrs of bike riding, single 15 mins walk to 7.5 hrs work-related PA (gym/weights, competitive sports, brisk/uphill walk, jogging or running, cycling, walking, dancing etc.)	3
Battaglia et al., 2013	Randomized controlled trial	the effect of soccer practice on schizophrenia	Social skills	Soccer training, twice a week 100–200 mins, subdivided in 6 subphases	1
Biddle & Asare, 2011	Review	physical activity on depression, anxiety, self-esteem and cognitive functioning	Body experience, self-image, personality (physical self-perceptions, global self-esteem)	Aerobic and resistance training	1
Chapman et al., 2016	Cross-sectional study	preferences, motivators, barriers and attitudes for physical activity in general mental illness	Physical and psychological activation (social support of exercise instructor)	Walking, bushwalking, strength training, swimming, cycling	3
Costa et al., 2018	Cross-sectional study	autonomous motivation and quality of life for physical activity in schizophrenia	Physical and psychological activation	General physical activity	3
Danielsson et al., 2016	Randomized controlled trial	the effect of a physical therapy exercise intervention on depression	Body experience, self-image, personality (body-image, identity, self-esteem)	45–60 mins endurance training, twice a week for 10 weeks, 2 single sessions followed by training in groups	1
Farholm & Sørensen, 2016	Systematic review	motivation for physical activity and exercise in severe mental illness	Physical and psychological activation (goal setting)	4 weekly hour-long small group sessions based on SCT; 16-week walking program 3 days/week with increasing duration; pedometer for a week; one session martial arts and one session stationary bicycling; 6-months o.a. group PA, 6 months individual and group sessions; 3 days/week x 6 or 12 weeks running or physiotherapy; 30 mins daily exercise starting with supervision; 3 days/week x 12 weeks individual exercise prescription with increasing intensity and duration; 3 days/week x 20 weeks supervised to unsupervised strength training; 3 days/week x 8 weeks strength training; 9 month individualized health promotion program 1/week health mentor and free fitness facilities access	1
Farholm, Sørensen & Halvari, 2017	Cross-sectional study	motivation for physical activity and quality of life in severe mental illness	Physical and psychological activation (suitable opportunities)	Physical activity defined as activities that make you breathe harder than normally, lasts at least 30 mins and is conducted on most days of the week	3
Farholm, Sørensen, Halvari & Hynnekleiv, 2017	Cross-sectional study	motivation, competence, functioning and apathy in generally mental illness	Physical and psychological activation (empowering patients)	Accelerometer-equipped wristwatch	3

(Continued on next page)

Table 1. (Continued)

Authors, year	Design	Main aim to investigate/assess/ evaluate	Sport therapeutic objectives	Type of physical activity	Grade of evidence rating
Firth et al., 2016	Systematic review and meta-analysis	motivation and barriers towards exercise in severe mental illness	Physical and psychological activation (motivate patients, social support, training program by experts)	General exercise	1
Fox, 1999	Narrative review and summary	the effect of physical activity on depression and anxiety	Body experience, self-image, personality (physical self- perceptions, global self-esteem)	Aerobic and resistance training	1
Gorczyński et al., 2018	Cross-sectional study	correlations between physical activity and negative symptoms in psychosis and diabetes	Self-image, personality (self- efficacy)	General physical activity	3
Hendryx et al., 2009	Cross-sectional study	social support, activities and recovery from serious mental illness	Physical and psychological activation (social support)	Walking, playing a sport, hiking and activities of daily living, leisure activities	3
Knapen et al., 2015	Review	the effect of exercise therapy on mental and physical health in major depression	Body experience, self-image, personality (body-image)	Exercise therapy: starting with and maintaining supervised exercise, follow-up after supervised exercise	1
Lee et al., 2018	Cross-sectional study	psychosocial factors associated with physical activity on psychosis	Self-image, personality (self- efficacy)	Regular physical activity	3
Mazyarkin et al., 2019	Pilot study	health benefits of a physical exercise program on chronic mental illness	Social skills (empathic ability)	Long-term (6 months) group-based exercise program versus short-term (3 months)	1
McCormick et al., 2009	Cross-sectional study	of social context and everyday physical activity in generally mental illness	Physical and psychological activation (social support)	Uniaxial accelerometer	3
Mo et al., 2016	Cross-sectional study	physical activity in general mental illness	Self-image, personality (self- esteem, self-efficacy); Physical and psychological activation	Moderate and vigorous exercise	3
Richardson et al., 2005	Review	the effect of physical activity on serious mental illness	Social skills (social withdrawal); Self-image (self-esteem, self- efficacy)	Physical activity (structured group programs, walking programs, accumulation of moderate-intensity activity)	1
Sørensen, 2006	Cross-sectional study	motivation for physical activity on generally mental illness	Physical and psychological activation (empowering patients)	Walking, running/bicycle, organized sport, housework, more activities	3
Ullsher et al., 2007	Cross-sectional study	physical activity preferences and barriers in severe mental illness	Physical and psychological activation (social support)	Exercise at a facility/at home, walking, housework, DIY activities, cycling, gardening, dancing, individual sport, team sport	3
Vinci et al., 2015	Randomized controlled clinical trial	the effect of team play football on schizophrenia	Social skills (social relationships, general social functions)	Weekly soccer training for 9 months with round table discussion	1
Wynaden et al., 2012	Cross-sectional study	the effect of an exercise program on psychotic disorders	Self-image, personality (self- confidence, self-efficacy)	Lifestyle training program: regular physical training for 6 months	3

components of the intervention group, such as “role limitations caused by emotional problems, social functioning, vitality” and “mental health” [27]. In the other study, weekly soccer training with only male schizophrenic subjects was conducted over the course of nine months, which consisted of both training and a game as well as a weekly round table discussion with a psychiatric operator [28]. The control group and the intervention group both continued to engage in their activities, such as carpentry and other work activities [28]. Compared to the control group, the intervention group showed significant psychopathological improvements, a reduction of negative symptoms, improvement of overall physical and mental health, enhanced self-awareness, and an improvement of social relationships and general social functions [28].

Body experience, self-image and stabilization of personality

Nine articles investigating the effects of PA on body experience, self-image, and personality in patients suffering from mental disorder ($n = 2$), psychosis ($n = 3$), or depression ($n = 4$).

Generally, for serious mental illness, PA promotes self-esteem and self-efficacy [25, 29], meaning structured group programs (walking programs) and a lifestyle modification (30 minutes of moderate-intensity activity per day), with at least three 20- to 60-minute exercise sessions per week [25]. In patients with psychosis, PA may also have significant effects on self-efficacy [30, 31], depending on the frequency, duration and intensity of physical activity [30]. This has also been demonstrated in a lifestyle-training program conducted within the State Forensic Mental Health Service in Australia [32], where regular physical training was offered over the course of six months. The aforementioned positive effects included symptom management, an increase in overall fitness, and improvements to self-confidence and self-esteem [32]. This was the only study directly related to forensic psychiatric outcomes [32]. Obviously, there is a significant lack of studies linking the objectives and effects of PA to forensic psychiatric patients.

Aerobic and resistance training can improve physical self-perception and global self-esteem in individuals suffering from depression or anxiety [33, 34]. PA in people with depression improves body image, strategies for coping with stress, quality of life, and independence in daily activities in older adults [10, 35]. The intervention lasted 10 weeks and included 45–60 minutes of endurance training twice a week [35]. The first two units were single sessions, followed by training in small groups of eight subjects each. Data was collected through interviews in which the patients' experiences were divided into four categories. The first category,

“struggling toward your healthy self”, pertains to a patient's sense of empowerment, and may help motivate patients to live a more actively, to experience pride and joy through PA, to get a feeling of being physically and psychologically sound, and to regain a healthy self-image and identity, and participate in (social) life. The second category, “challenging the resistance”, pertains to self-satisfaction after PA, as well as a boost of self-esteem. The third category, “feeling more alive but not euphoric”, refers to the patients being more vigilant, gentle, mobile, and energetic after exercise. The patients reported good feelings as a result of experiencing their own bodies in motion. In addition, exercise raised their general mood. However, some patients reported that the movement intervention did not bring about the hoped-for euphoric feelings. The last category, “needing someone to be there for you” emphasizes the importance of the physical therapist as a source of support and motivation, helping the participants to overcome motivational lapses and keep up the training until the end.

Physical and psychological activation

12 publications were found that show the positive effects of PA on the activation of patients suffering from mental disorders ($n = 9$) or, specifically, schizophrenia ($n = 3$).

In patients suffering from mental disorders, lack of motivation, lack of self-determination, fatigue, illness etc. are associated with significantly lower levels of PA [29, 36, 37]. In order to help patients with severe mental illnesses to engage in PA, the sessions should match the patients' abilities and (psychosocial) needs [38]. Measures that may help are structured training in a facility [37], social support [37, 39–42], the support of an exercise instructor [37, 39], the promotion of intrinsic and extrinsic motivation (empowering patients to control their training independently) [36, 43, 44], motivational interviewing, and goal setting [45]. In addition to pursuing physiological goals, it is also recommended to make use of exercise programs that provide psychological support to patients [40] and promote interaction with others [46].

Coping with conflict and frustration

One study investigating the effect of PA on the ability to deal with tension and anger was identified among patients with depression.

In patients with major depressive disorders, a 30-minute moderate-intensity treadmill exercise (60–70% of maximum heart rate), paired with mood recording five minutes before the intervention, five minutes after, 30 minutes after, and 60 minutes after, was found to reduce psychological stress, depression, confusion, fatigue, tension, and anger [47].

Discussion

Six treatment objectives [14] served to structure and summarize the effects of PA on patients undergoing forensic psychiatric treatment. To the best of our knowledge, this is the first review that examines therapeutic objectives as defined by sport therapists active in forensic psychiatric facilities. PA is meaningfully associated with the psychosocial effects of patients with severe mental illness, especially if PA is administered in a group format (group exercise). It is possible that individual, tailored sports programs may be effective for some patients, but little is known about the specific effects of individual compared to team sports programs, probably because individual training is much less commonly used in forensic practice than team or group training (individual training requires one therapist for one patient, whereas team programs can be implemented much more cost-effectively). In addition, group training programs contain a much stronger psychosocial skills component than individual ones. There is a wealth of studies linking PA to the promotion and development of social skills and the physical and psychological activation of the patient. There is some evidence that structured PA may be associated with correction of the self-image, change in body experience, and stabilization of personality, but additional studies are required. Surprisingly, no literature was found on learning to deal appropriately with conflicts or frustrations. From a clinical point of view, it is obvious that coping with conflict and frustration should be a cornerstone of all psychiatric treatment efforts, since conflict resolution and reducing psychological tension (between patients and between patients and staff) is a daily routine within the wards. Clearly, this does not apply only to forensic psychiatric patients, but to all kinds of psychiatric patients.

Coping with conflict and frustration reflects a set of behaviors that can be conceived of as part of other treatment targets, i.e. the promotion of social skills (learning to deal with conflict and frustration are both social skills). Thus, conflict and frustration may be a subgroup within a larger set of behaviors referred to as social skills, which is why using these designations as the principal search terms for a literature review may not be sensitive. Furthermore, only one study involving forensic patients was found [32]. Apparently, German forensic sport therapists focus more on the psychological outcomes of sport therapeutic activity than the physical outcomes. Based on this review, it seems that most treatment targets expressed by these professionals are backed by scientific evidence pertaining to the sport therapeutic treatment of psychosomatic and psychiatric patients in general, but not for forensic patients. Patients in general psychiatric treatment do not differ much from forensic patients in terms of the aforementioned (specific) mental disorders they suffer from. Therefore, PA could be

effective for forensic psychiatric patients, too. To test this assumption, specific research on psychosocial performance of forensic psychiatric patients as a result of sport therapeutic intervention is needed.

Limitations

Cross-sectional studies showed that mentally ill patients may be highly motivated to participate in physical activities and that positive effects on various parameters of mental illness are likely as a result of PA. The problem is that cross-sectional studies do not show causal relationships between PA and mental outcomes. Yet, our point of view is that the results of the cross-sectional studies may be a good starting point for planning RCT-studies. Cross-sectional studies provide assumptions about possible associations between PA and sport therapeutic objectives in the field of mental health. These assumptions should be used to plan and conduct other types of studies more suitable to elucidate causal relationships between PA and mental outcomes in forensic patients. For this reason, the above-mentioned findings do not all refer to RCTs, but also to cross-sectional studies.

Conclusion

Sport therapeutic treatment goals for forensic patients are mainly directed at improving psychosocial performance. Yet, there is little scientific evidence suggesting that forensic patients benefit from PA in terms of acquiring psychosocial competencies. Based on relevant findings on (general) psychiatric patients suffering from the same mental disorders as forensic patients do, one may hypothesize that PA will be effective for forensic psychiatric patients, too. But currently, the evidence is missing and should be provided; otherwise, PA with forensic patients will continue to stand on shaky ground. Longitudinal dose-effect outcome studies on forensic patients, controlling for psychiatric diagnoses and index offences, should be designed and carried out.

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